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OCT 16 2008

In re Application of :  
Robbert Adrianus Maria Van Hal et al. :  
Application No. 10/567,040 :  
Filed: February 2, 2006 :  
Attorney Docket No. NL030940 :

**OFFICE OF PETITIONS**

ON PETITION

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed June 25, 2008, to revive the above-identified application.

The petition is **DISMISSED**.

Any request for reconsideration of this decision must be submitted within TWO (2) MONTHS from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition under 37 CFR 1.137(b)." This is **not** a final agency action within the meaning of 5 U.S.C. § 704.

The application became abandoned for failure to timely pay the issue and publication fees on or before February 1, 2008, as required by the Notice of Allowance and Fee(s) Due, mailed November 1, 2007, which set a statutory period for reply of three (3) months. Accordingly, the application became abandoned on February 2, 2008.

A grantable petition under 37 CFR 1.137(b) must be accompanied by: (1) the required reply, unless previously filed; (2) the petition fee as set forth in 37 CFR 1.17(m); (3) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional; and (4) any terminal disclaimer (and fee as set forth in 37 CFR 1.20(d)) required by 37 CFR 1.137(d). Where there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137 was unintentional, the Director may require additional information. See MPEP 711.03(c)(II)(C) and (D). The instant petition lacks item(s) (1).

While the revival of applications abandoned for failure to timely prosecute and for failure to timely pay the issue fee are incorporated together in 37 CFR 1.137, the statutory provisions for the revival of an application abandoned for failure to timely prosecute and for failure to timely submit the issue fee are mutually exclusive. *See Brenner v. Ebbert*, 398 F.2d 762, 157 USPQ 609 (D.C. Cir. 1968). 35 U.S.C. 151 authorizes the acceptance of a delayed payment of the issue fee, if the issue fee "is submitted ... and the delay in payment is shown to have been unavoidable." 35 U.S.C. 41(a)(7) likewise authorizes the acceptance of an "unintentionally delayed payment of the fee for issuing each patent." Thus, 35 U.S.C. 41(a)(7) and 151 each require payment of the issue fee as a condition of reviving an application abandoned or patent lapsed for failure to pay the issue fee. Therefore, the filing of a continuing application without payment of the issue fee or any outstanding balance thereof is not an acceptable reply in an application abandoned or patent lapsed for failure to pay the issue fee or any portion thereof. The Notice of Allowance requires the timely payment of the issue fee in effect on the date of its mailing to avoid abandonment of the application. In instances in which there is an increase in the issue fee by the time of payment of the issue fee required in the Notice of Allowance, the Office will mail a notice requiring payment of the balance of the issue fee then in effect. *See In re Mills*, 12 USPQ2d 1847, 1848 (Comm'r Pat. 1989). The phrase "for failure to pay the issue fee or any portion thereof" applies to those instances in which the applicant fails to pay either the issue fee required in the Notice of Allowance or the balance of the issue fee required in a subsequent notice. In such instances, the reply must be the issue fee then in effect, if no portion of the issue fee was previously submitted, or any outstanding balance of the issue fee then in effect, if a portion of the issue fee was previously submitted. In an application abandoned for failure to pay the publication fee, the required reply must include payment of the publication fee. Even if an application abandoned for failure to pay the publication fee is being revived solely for purposes of continuity with a continuing application, the petition to revive under 37 CFR 1.137 must include payment of the publication fee.

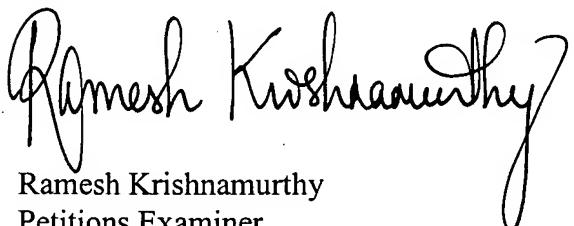
Further correspondence with respect to this matter should be addressed as follows:

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By hand:                    U. S. Patent and Trademark Office  
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                                  401 Dulany Street  
                                  Alexandria, VA 22314

The centralized facsimile number is **(571) 273-8300**.

Telephone inquiries concerning this decision should be directed to JoAnne Burke at  
**(571) 272-4584.**

A handwritten signature in black ink, appearing to read "Ramesh Krishnamurthy". The signature is fluid and cursive, with the first name on top and the last name below it.

Ramesh Krishnamurthy  
Petitions Examiner  
Office of Petitions

Application No: 10/504,040 Date: 10/7/08

**CHECKLIST - PETITION TO REVIVE (UNINTENTIONAL) 37 CFR 1.137(b)**

Petition under 37 CFR 1.137(b) requires:

1. Petition fee;
2. Reply and/or issue fee;
3. Terminal disclaimer with disclaimer fee - utility and plant filed before 6/8/95 and all design cases;
4. Statement that the entire delay was unintentional.

Petition Date: 10/25/08

Petition Fee:  \$770/SM  \$1540/LG  Not Paid

Is statement of unintentional delay present?  YES  NO (Can statement be construed as unintentional?)

Is petition signed by attorney of record?  YES  NO Petition address the same as address in palm.  YES  NO

Mail Date of Office Action: 11/1/07

Type of Office Action: Notice of Allowance

Statutory Response Period Set:  30 days  1 month  2 months  3 months

Ext of time obtained w/in SSP:  None  1 month  2 months  3 months  4 months

Abandonment Date: 2/2/08

Type of reply received: RCE

Terminal Disclaimer required:  YES  NO (utility applications filed after 6/8/95 or before 5/29/00 and all design applications)

Petition Decision Codes

502 - 37 CFR 1.137(b) Revival based on unintentional delay in abandon application

510 - 37 CFR 1.137(b) Revival to accept late payment of issue fee (unintentional)

502

Fee Codes

1453/2453

\$1540/\$770

502/510 Petitions

Paralegal: Soriano

Dismissed  Granted

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)**
Docket Number (Optional)  
NL030940

First named inventor: ROBBERT A. M. VAN HAL

Application No.: 10/567,040

Art Unit: 3735

Filed: FEBRUARY 2, 2006

Examiner: AHMED M. FARAH

Title: DEVICE FOR SHORTENING HAIRS BY MEANS OF LASER INDUCED OPTICAL BREAKDOWN EFFECTS

Attention: Office of Petitions  
**Mail Stop Petition**  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450  
 FAX (571) 273-8300

NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (571) 272-3282.

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the office notice or action plus an extensions of time actually obtained.

**APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION**

NOTE: A grantable petition requires the following items:

- (1) Petition fee;
- (2) Reply and/or issue fee;
- (3) Terminal disclaimer with disclaimer fee - required for all utility and plant applications filed before June 8, 1995; and for all design applications; and
- (4) Statement that the entire delay was unintentional.

## 1. Petition fee

Small entity-fee \$ \_\_\_\_\_ (37 CFR 1.17(m)). Applicant claims small entity status. See 37 CFR 1.27.

Other than small entity - fee \$ 1540.00 (37 CFR 1.17(m))

## 2. Reply and/or fee

A. The reply and/or fee to the above-noted Office action in the form of RCE/IDS (identify type of reply):

has been filed previously on \_\_\_\_\_.  
 is enclosed herewith.

B. The issue fee and publication fee (if applicable) of \$ \_\_\_\_\_.  
 has been paid previously on \_\_\_\_\_.  
 is enclosed herewith.

[Page 1 of 2]

This collection of information is required by 37 CFR 1.137(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## 3. Terminal disclaimer with disclaimer fee

Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.

A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ \_\_\_\_\_ for a small entity or \$ \_\_\_\_\_ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).

## 4. STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(b) was unintentional (MPEP 711.03(c), subsections (III)(C) and (D)).]

**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes); is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

/Paul Im/

Signature

JUNE 25, 2008

Date

PAUL IM

Typed or printed name

50,418

Registration Number, if applicable

Address

914-333-96

Telephone Number

Address

Enclosures:  Fee Payment Reply Terminal Disclaimer Form Additional sheets containing statements establishing unintentional delay Other: RCE/IDS**CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]**

I hereby certify that this correspondence is being:

Deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.

\_\_\_\_\_  
Date\_\_\_\_\_  
Signature\_\_\_\_\_  
Typed or printed name of person signing certificate

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

# Attorney/Agent Information for 10/567040

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## Customer # 24737

Attorney/Agent Name	Attorney/Agent Registration Number	Attorney/Agent Telephone Number
SLOBOD, JACK	26236 (Attorney)	(914)333-9606
KRAUS, ROBERT	26358 (Attorney)	(914)333-9634
BIREN, STEVEN	26531 (Attorney)	(914)333-9630
HAKEN, JACK	26902 (Attorney)	(914)333-9650
GOODMAN, EDWARD	28613 (Attorney)	(914)333-9611
YORKS, W	28923 (Attorney)	(425)487-7152
REHBERG, JOHN	29207 (Attorney)	(610)395-8042
BLOCKER, EDWARD	30245 (Attorney)	(914)333-9660
MARION, MICHAEL	32266 (Attorney)	(914)333-9641
WIEGHAUS, BRIAN	32603 (Attorney)	(914)333-9633
BELK, MICHAEL	33357 (Attorney)	(914)333-9643
VESELI, RALPH	33807 (Attorney)	(408)867-2107
FORTIN, KEVIN	35140 (Attorney)	(650)996-9693
HAAS, MICHAEL	35174 (Attorney)	(724)387-5026
BRAM, ERIC	37285 (Attorney)	(914)333-9635
CHO, KENNETH	37595 (Attorney)	(212)584-2190
LIBERCHUK, LARRY	40352 (Attorney)	(914)333-9600
KRAUSE-POLSTORFF, JUERGEN	41127 (Attorney)	(408)474-9062
PIOTROWSKI, DANIEL	42079 (Attorney)	(908)903-6218
PIOTROWSKI, DANIEL	42079 (Attorney)	(914)333-9624
PIOTROWSKI, TONY	42080 (Attorney)	(688)250-21
HOLMBO, TODD	42665 (Attorney)	(973)660-4414
ASHER, KATHLEEN	43194 (Attorney)	(857)413-6113
ECKER, KEVIN	43600 (Attorney)	(212)806-6471
COLDREN, RICHARD	44084 (Attorney)	(724)387-4455
NATHAN, TIMOTHY	44256 (Attorney)	(724)387-4435
BARNES, DAVID	47407 (Attorney)	(914)333-9693
CORDEIRO, DAVID	48134 (Attorney)	(408)474-9057
LUNDIN, THOMAS	48979 (Attorney)	(440)483-4281
KEEGAN, FRANK	50145 (Attorney)	(914)333-9669
IM, PAUL	50418 (Attorney)	(914)333-9627

MCKNIGHT, DOUGLAS	50447 (Attorney)	(216)622-8200
BELOBORODOV, MARK	50773 (Attorney)	(781)418-9363
GLICKBERG, YAN	51742 (Attorney)	(914)333-9618

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24737 Delivery Mode: <u>Paper</u>	Telephone: (914)332-0222 Fax: (914)332-0615 E-Mail: No E-Mail Address	PHILIPS INTELLECTUAL PROPERTY & STANDARDS P.O. BOX 3001 BRIARCLIFF MANOR NY 10510

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Revenue Accounting and Management

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Name/Number: 10567040

Total Records Found: 11

Start Date: Any Date

End Date: Any Date

Accounting Date	Sequence Num.	Fee Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
06/26/2008	00002070	4	<u>1453</u>	\$1,540.00	06/25/2008	DA 141270
06/26/2008	00002071	4	<u>1801</u>	\$810.00	06/25/2008	DA 141270
06/26/2008	00002072	4	<u>1806</u>	\$180.00	06/25/2008	DA 141270
01/29/2008	00005047	4	<u>1801</u>	\$810.00	01/28/2008	DA 141270
10/12/2007	00000003	1	<u>1801</u>	\$810.00	10/10/2007	DA 141270
08/09/2007	00004686	4	<u>1401</u>	\$500.00	08/08/2007	DA 141270
03/21/2007	00000163	1	<u>1801</u>	\$790.00	03/20/2007	DA 141270
02/08/2006	00000098	1	<u>1631</u>	\$300.00	02/02/2006	DA 141270
02/08/2006	00000099	1	<u>1633</u>	\$200.00	02/02/2006	DA 141270
02/08/2006	00000100	1	<u>1642</u>	\$400.00	02/02/2006	DA 141270
02/08/2006	00000101	1	<u>8021</u>	\$40.00	02/02/2006	DA 141270

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